

PROFESSOR CATHERINE A. ROGERS

Bocconi University

Via Roentgen 1, Milano

Milan, Italy

catherine.rogers@unibocconi.it

ACADEMIC APPOINTMENTS

UNIVERSITÀ COMMERCIALE LUIGI BOCCONI, Milan, Italy

Full Professor of Law (from February 2021-present) Previous positions include: *Professor of Law (ancillary)*, 2007-2010; *Tenure-Track Assistant Professor*, Spring Semesters 2005-2007; *Long-Term Visiting Associate Professor of Law*, Spring Semesters 2002-2004

Courses: Comparative Business Law; Dispute Resolution in a Globalized World; TradeLab Clinic

PENN STATE LAW, State College, Pennsylvania

Professor of Law, Fall 2008-2021; *Affiliate Faculty, School of International Affairs (2008-2021)*; *sabbatical* 2011-12; *Paul & Marjorie Price Faculty Scholar*, 2012-Present

Courses: International Commercial Arbitration, Global Lawyering, Civil Procedure, Professional Responsibility, International Litigation and Arbitration

QUEEN MARY, UNIVERSITY OF LONDON, London, England

Chair of Ethics, Regulation & The Rule of Law at Center for Commercial Law Studies,
Co-Director of Institute for Regulation & Ethics, September 2013-2022 (partial appointment)

Courses: Ethics in International Arbitration,

LOUISIANA STATE UNIVERSITY LAW CENTER, Baton Rouge, Louisiana

Richard C. Cadwallader Professor of Law, Fall 2006-2008, *Richard C. Cadwallader Associate Professor of Law*, 2004-2005, *Associate Professor of Law*, 2003-2004, *Assistant Professor of Law*, 2000-03

Courses: International Litigation & Arbitration, Int'l Law Seminar, Public International Law

OTHER TEACHING

INSTITUTO TECNOLÓGICO AUTÓNOMO DE MÉXICO (ITAM), Mexico City, Mexico,

Visiting Professor in International Arbitration Summer School, June 2014-2018 & 2021

PEPPERDINE SCHOOL OF LAW, Malibu, California

Visiting Professor, Seminar on Ethics in International Arbitration, January 2015, 2016, 2017 & 2024

UNIVERSITY OF GENEVA, LLM in Dispute Settlement (MIDS) Recurring Visiting Professor,

Ethics in International Arbitration, Geneva Switzerland, May 2015; June 2022-Present

SINGAPORE ARBITRATION ACADEMY, Singapore, Visiting Professor, December 2012

UNIVERSITÀ DEGLI STUDI DELL'INSUBRIA, Como, Italy & UNIVERSITÀ di BOLOGNA

Visiting Professor, Summers 2002-2006; *American Contract Law in Comparative Perspective*

LISBON SUMMER SCHOOL, Nova University, Lisbon, Portugal, Summers 2022 & 2023

CATHERINE A. ROGERS

PROFESSIONAL APPOINTMENTS & PUBLIC SERVICE

Member, International Advisory Board, Thai Arbitration Center, 2022-Present

Member, Academic Forum on Investor-State Dispute Settlement, Geneva Center for International Dispute Settlement, 2017-Present

Reporter, RESTATEMENT OF THE U.S. LAW OF INTERNATIONAL COMMERCIAL AND INVESTOR-STATE ARBITRATION, American Law Institute, 2007-Present

Founder and CEO, *Arbitrator Intelligence* (NGO (2014-2019) and Legal Tech Startup (2019-Present))

Co-Chair (with William W. Park and Stavros Brekoulakis), ICCA-Queen Mary Task Force on Third-Party Funding in International Arbitration, Spring 2013-2018

Member, International Board of Advisors, Vienna International Arbitration Centre, 2015-17, 2019-21, 2023-Present

Member, Advisory Board of Investment Claims, Oxford University Press, 2017-Present

Member, Board of Advisors of the Lagos Court of Arbitration, Lagos, Nigeria, 2016-2018

Member, Board of Advisors, New York International Arbitration Center, 2014-Present

Member of the Court of Arbitration of the Jerusalem Arbitration Centre (JAC) (appointed by the ICC Palestine), 2014-2016

Convenor, Inaugural Detlev Vagts Roundtable on Transnational Law, American Society of International Law, Roundtable on Global Legal Ethics, 2015-16

Member, Board of Directors, International Judicial Academy, 2012-2017

Member, U.S. State Department Delegation, UNCITRAL Online Dispute Resolution Working Group II, 2012-2015

Expert Consultant, USAID-sponsored program on arbitrator ethics reforms, Tbilisi, Georgia, June 2014

Legal Expert, OECD, 16th Freedom of Investment Roundtable, Spring 2012

Member, International Bar Association (IBA) Task Force on Guidelines for Party Representatives, 2008-2013

Member, Academic Council, INSTITUTE FOR TRANSNATIONAL ARBITRATION (ITA), 2005-13, 2015-Present; Vice-Chair, 2021-Present

Co-Chair, ASIL-ITA Conference, *The Future of Investment Arbitration*, 2007

Co-Chair, ITA Annual Workshop, *Confronting Ethical Issues in International Arbitration*,

Dallas, Texas, June 2009

Co-Chair, 6th Annual Americas Workshop, October 15, 2010, Bogotá, Columbia

Consultant for ICC Palestine on Capacity-Building for Palestinian lawyers, judges and arbitrators (2011-2015)

CATHERINE A. ROGERS

Member, AMERICAN SOCIETY OF INTERNATIONAL LAW *Task Force on Global Legal Ethics* chaired by Professor Detlev Vagts, 2006-08

Member, Arbitration Committee, *CPR International Institute for Conflict Prevention & Resolution*, 2007-2010

Special Consultant on Issues of Ethics for Reinsurance Arbitrators, ARIAS-US, 2007-2009

PUBLICATIONS

BOOKS

ETHICS IN INTERNATIONAL ARBITRATION (Oxford University Press, 2014)

Select Book Reviews:

- Carrie Menkel-Meadow, *Ethical Ordering in Transnational Legal Practice? A Review of Catherine A. Rogers's Ethics in International Arbitration*, 29 GEO. J. LEGAL ETHICS 207 (2015)
- Fabien Gélinas and Jonathan Brosseau, *Book Review*, ARBITRATION INTERNATIONAL 1 (2016)
- Jack C. Coe, Jr., *Book Review*, 21 No. 4 DISP. RESOL. MAG. 18(2015)

THE FUTURE OF INVESTMENT ARBITRATION (editor, with Roger P. Alford) (Oxford University Press, 2009)

COMPLEX LITIGATION: LITIGATING FOR SOCIAL CHANGE (casebook with Kevin Johnson and John V. White) (Carolina Academic Press, 2008) (international litigation & arbitration chapters)

BOOK CHAPTERS

The Empirics of Arbitrator Challenges in ISDS, (co-authored with Fahira Brodlija, Marios Tokas & Nazlicicek Semercioglu (Brill Nijhoff, forthcoming 2024)

Integrity in International Arbitration and among International Arbitrators, LIBER AMICORUM FOR JULIAN LEW (forthcoming 2023)

The Legitimacy and Ethics of International Commercial Court Judges, INTERNATIONAL COMMERCIAL COURTS: THE FUTURE OF TRANSNATIONAL ADJUDICATION (Cambridge University Press, 2022)

Does International Arbitration Enhance or Enfeeble Local Legal Institutions? (with Christopher Drahozal) in EMPIRICAL PERSPECTIVES ON THE LEGITIMACY OF INTERNATIONAL INVESTMENT TRIBUNALS (Cambridge University Press, 2022)

Ethics Issues in Advising and Advocating Regarding Cross-Border Contracts, INTERNATIONAL COMMERCIAL CONTRACTS (forthcoming 2023 Oxford University Press)

Arbitrator Appointments in the Age of COVID-19, REMOTE INTERNATIONAL ARBITRATION: THE COVID-19 REVOLUTION (Kluwer 2020)

Third-Party Funding in Investment Arbitration (with Stavros Brekoulakis) in HANDBOOK OF INTERNATIONAL INVESTMENT LAW AND POLICY (Springer 2020)

Transparency in Arbitrator Selection, in AUSTRIAN YEARBOOK OF ARBITRATION (Kluwer, 2015)

Arbitrator Challenges: Too Many, or Not Enough?, in CONTEMPORARY ISSUES IN INTERNATIONAL ARBITRATION AND MEDIATION: THE FORDHAM PAPERS (2014) (with Idil Tumer)

The Ethics of International Arbitrators, in The Leading ARBITRATORS' GUIDE TO INTERNATIONAL ARBITRATION (with Jeff Jeng)(2nd edition) (Juris 2014)

CATHERINE A. ROGERS

Transnational Litigation and Ethics, in INTERNATIONAL LITIGATION AND STRATEGIES (with Detlev Vagts) (2nd edition) (Barton Legum, ed., 2014)

Guerilla Tactics and Ethics, in INTERNATIONAL ARBITRATION: ETHICS, PRACTICE AND REMEDIES (Günther Horvath and Stephan Wilske, eds., 2013)

The Restatement as “New Rules,” in CONTEMPORARY ISSUES IN INTERNATIONAL ARBITRATION AND MEDIATION: THE FORDHAM PAPERS (2012)

Transnational Litigation and Ethics, in INTERNATIONAL LITIGATION AND STRATEGIES (with Detlev Vagts) (Barton Legum, ed., 2012)

BOOK CHAPTERS (CONT.)

International Arbitration’s Public Realm, in CONTEMPORARY ISSUES IN INTERNATIONAL ARBITRATION AND MEDIATION: THE FORDHAM PAPERS (2011)

The Ethics of Advocacy, in THE ART OF ADVOCACY IN INTERNATIONAL ARBITRATION (Juris Publishing 2010)

Cross-Border Bankruptcy as a Model for Regulation of International Attorneys, in MAKING TRANSNATIONAL LAW WORK IN A GLOBAL ECONOMY: ESSAYS IN HONOUR OF DETLEV VAGTS (Cambridge University Press, 2010)

The Ethics of International Arbitrators, in THE LEADING ARBITRATORS’ GUIDE TO INTERNATIONAL ARBITRATION (Juris Publishing, 2008)

ARTICLES & ESSAYS

Compliance in the Shadow of the Award, __ YALE J. INT’L L.

Challenging and Enforcing International Arbitral Awards in U.S. Federal Courts: An Empirical Study, 65 VIRG. J. INT’L L. __ (forthcoming 2024)

Arbitrator Impartiality: The Devil Is in the Details, ARBITRATION INTERNATIONAL (Oxford University Press 2023)

Reconceptualizing the Party-Appointed Arbitrator and the Concept of Impartiality, __ HARVARD INTERNATIONAL LAW REVIEW __ (2023)

- Recipient of the CPR Professional Article Award for 2024
- Recipient of Bocconi Research Excellence Award, 2024

The World Is Not Enough: ethics in arbitration seen through the world of film, ARBITRATION INTERNATIONAL (Oxford University Press 2021)

International Arbitration, Judicial Education & Legal Elites, 2015 MO. J. OF DISP. RES. 71 (2015)

Arbitrator Selection, Transparency and Stakeholder Interests, 46 VICTORIA U. WELLINGTON L. REV. 1179 (2015)

CATHERINE A. ROGERS

Third-Party Funding In International Arbitration: The ICCA Queen-Mary Task Force, AUSTRIAN YEARBOOK ON INTERNATIONAL ARBITRATION (2014) (with William W. “Rusty” Park)

A Reply to “Hollow Spaces,” 62 BUFFALO LAW REV. 177 (2014) (invited response) (with George Bermann, Jack C. Coe and Christopher Drahozal)

The Politics of Investment Arbitrators, 12 SANTA CLARA J. INT’L L. 223 (symposium contribution) (2013)

When Bad Guys Are Wearing White Hats, 1 STANFORD J. COMPLEX LIT. 487 (symposium contribution) (2013)

Fraport v. Philippines, ICSID, and Counsel Disqualification: The Power and the Praxis: Fraport AG Frankfurt Airport Services Worldwide v. The Republic of the Philippines, Decision on Disqualification of Counsel, 18 September 2008, (case note) JOURNAL OF WORLD INVESTMENT AND TRADE (2014) (with Alex Wiker)

The Jerusalem Arbitration Center, 106 AM. SOC’Y INT’L L. PROC. 270 (2012)

Peace, One Dispute at a Time: The Jerusalem Arbitration Center, NEW YORK DISPUTE RESOLUTION LAWYER (Spring 2012)

Restating the U.S. Law of International Commercial Arbitration, 113 PENN ST. L. REV. 1333 (2009) (with George Bermann, Jack C. Coe AND Christopher Drahozal)

ARTICLES & ESSAYS (CONT.)

Lawyers Without Borders, 30 U. PENN. INT’L L. REV. 1035 (2009)

- Reprinted ANDREW KAUFMAN & DAVID B. WILKINS, PROFESSIONAL RESPONSIBILITY (5th ed. 2009)

The Arrival of the Have-Nots in International Commercial Arbitration, 8 NEVADA L.J. 341 (2007) (symposium contribution)

Transparency in International Commercial Arbitration, 54 KANSAS L. REV. 1301 (2006) (symposium contribution)

Arbitrating Antitrust Claims in the United States and Europe, 133-14 CONCORRENZA E MERCATO 455 (2005-06) (with Niccolò Landi)

The Vocation of International Arbitrators, 20 AM. U.J. INT’L L. 959 (2005)

Regulating International Arbitrators: A Functional Approach to Developing Standards of Conduct, 41 STAN. INT’L L. REV. 53 (2005)

- Selected for the 2004 Stanford-Yale Junior Faculty Forum in the category of Law and Humanities

Proposals to Expel Palestinians from the Occupied Territories as Catalyst for a Civil Adjudication Campaign, 7 IOWA J. GENDER RACE & JUST. 167 (2003)

International Arbitration Needs Enforceable Conduct Rules, 21 ALTERNATIVES TO HIGH-COST LITIG. 97 (2003)

Context and Institutional Structure in Attorney Discipline: Developing an Enforcement Regime for Ethics in International Arbitration, 39 STAN. INT’L L. REV. 1 (2002)

CATHERINE A. ROGERS

- Earlier version, then combined with companion article *Fit and Function*, selected for 2001 Stanford Yale-Junior Faculty Forum in the category of Private International Law
- Recipient, with companion article *Fit and Function*, of the 20th Annual CPR Professional Article Award for 2002

Fit and Function in Legal Ethics: Developing a Code of Attorney Conduct for International Arbitration, 23 MICH. INT'L L.J. 341 (2002)

- Reprinted in CARRIE MENKEL-MEADOW *ET AL.*, APPROPRIATE DISPUTE RESOLUTION: BEYOND THE ADVERSARIAL MODEL FOR DISPUTE AND CONFLICT (2004)

Gulliver's Troubled Travels, or The Conundrum of Comparative Law, 67 GEO. WASH. L. REV. 159 (1998) (review essay)

The Role of Arbitration in Resolving Transnational Disputes: A Survey of Trends in the People's Republic of China, 15 BERK. J. INT'L L. 329 (1997) (with Frederick Brown)

"And to the Republic for Which, It Stands": Guaranteeing a Republican Form of Government, 23 HASTINGS CONST. L.Q. 1057 (1996) (with David L. Faigman)

Arbitrator Ethics entry in ENCYCLOPEDIA OF INTERNATIONAL ECONOMIC LAW (Edward Elgar 2017)

Apparent Dichotomies, Covert Similarities; A Response to Joost Pauwelyn, 109 AJIL UNBOUND 294 (2016) (invited response)

PROFESSIONAL REPORTS AND RESTATEMENT

PAPER ON THIRD-PARTY FUNDING IN INVESTMENT ARBITRATION FOR ACADEMIC COUNCIL WORKING GROUP III (co-authored with Stavros Brekoulakis) (2019)

ICCA-QUEEN MARY TASK FORCE ON THIRD-PARTY FUNDING IN INTERNATIONAL ARBITRATION JOINT REPORT (ICCA Reports Series, 2018) (co-editor and co-author with Stavros Brekoulakis and William Park)

RESTATEMENT OF THE U.S. LAW OF INTERNATIONAL COMMERCIAL AND INVESTOR-STATE ARBITRATION (forthcoming 2023)

AMERICAN SOCIETY OF INTERNATIONAL LAW TASK FORCE REPORT ON GLOBAL LEGAL ETHICS, (primary author of draft report) (2008)

OTHER SELECTED PUBLICATIONS

[*GSI v. Canada: Old Problems and New Take-Aways concerning Counsel Conflicts*](#), Kluwer Arbitration Blog, 10 August 2022

[*The Sorcerer's Apprentice, or Integrity-Driven Innovation in International Arbitration*](#), Kluwer Arbitration Blog, 16 December 2020

[*Arbitrator Intelligence Investment Reports: Catching Lightning in a Bottle*](#), Kluwer Arbitration Blog, 1 December 2020

CATHERINE A. ROGERS

[*The Market for Arbitrators and The Market for Lemons*](#), Kluwer Arbitration Blog, 10 June 2020

[*Moneyball for Arbitrators*](#), Kluwer Arbitration Blog, 2 July 2018

[*Data-Driven Decision-Making: From Fine Wine to Fine Arbitrators*](#), Kluwer Arbitration Blog, 31 May 2018

[*The Key to Unlocking the Diversity Paradox in International Arbitration: Arbitrator Intelligence*](#), Kluwer Arbitration Blog 27 December 2017

Arbitrator Intelligence -- An Interview with its Founder and Director, Professor Catherine Rogers, 1 J. TECH. INT'L ARB.87 (2015) (with Patricia Shaughnessy)

[*Arbitrator Intelligence: The Pilot Project and Beyond*](#), Kluwer Arbitration Blog, 20 January 2015

Entry as Founder of *Arbitrator Intelligence*, in *Gender-Oriented Implementation of ADR Instruments in the Western Balkans* (financed by GIZ) (2015)

The US Law of International Commercial Arbitration Restated, 21 DISP. RES. MAG. 8 (2014) (with Ank Santens and Suyash G. Paliwal)

[*The International Arbitrator Information Project: From an Ideation to Operation*](#), Kluwer Arbitration Blog, 10 December 2012

[*The International Arbitrator Information Project: An Idea Whose Time Has Come*](#), Kluwer Arbitration Blog, 9 August 2012

[*Peace through Commerce?: The Idea of the Jerusalem Arbitration Center*](#), International Law Grrls Blogpost, 4 November 2011

Other Kluwer Blog posts available here: <https://arbitrationblog.kluwerarbitration.com/author/catherine-a-rogers-2/>

LEGAL EXPERIENCE

ORRICK, HERRINGTON & SUTCLIFFE LLP, San Francisco, California

Attorney, 1997-1999

Represented Microsoft Corporation in *Sun Microsystems v. Microsoft*; represented biotechnology company in domestic and international arbitration patent disputes; represented telecoms company in international technology arbitration; represented City of Monterey in U.S. Supreme Court appeal; represented *pro bono* low-income tenants in landlord-tenant disputes.

COUDERT BROTHERS, New York; Hong Kong

CATHERINE A. ROGERS

Attorney, 1995 (New York), 1996-97 (Hong Kong)

Represented clients in arbitrations seated throughout Asia and Europe and administered by various arbitral institutions; coordinated international litigation for Asian clients.

THE HONORABLE MELVIN BRUNETTI, United States Court of Appeals for the Ninth Circuit, Reno Nevada, *Judicial Law Clerk*, 1994-95

THE HONORABLE BARBARA A. CAULFIELD, United States District Court for the Northern District of California, San Francisco, California, *Judicial Extern*, Fall 1993

PROFESSIONAL QUALIFICATIONS

Member of the New York Bar (1996-Present)

Foreign Licensed Attorney, Hong Kong (1996-97)

Member of the California Bar (1997-Present) (inactive status since 2002)

EDUCATION

YALE LAW SCHOOL

Master of Laws, 2000; Recipient of the Howard M. Holtzmann Fellowship, 2001

UNIVERSITY OF CALIFORNIA COLLEGE OF LAW, SAN FRANCISCO

Juris Doctor, 1994

Order of the Coif; Senior Executive Editor, *Hastings Law Journal*

Distinguished Service Award as a Board Member on the *Hastings Law Journal*

UNIVERSITY OF CALIFORNIA at BERKELEY, Bachelor of Arts in Literature, 1991

CATHERINE A. ROGERS

KEYNOTE SPEECHES & ENDOWED LECTURES

Hague Academy of International Law Lectures, *The Concept of Arbitrator Impartiality* (anticipated Winter 2025)

Keynote, *Ethics in International Arbitration*, VII Rio de Janeiro International Arbitration Conference (anticipated August 2024)

Opening Keynote, *The Legitimacy & Impartiality of International Arbitrators*, Basel, Switzerland, 2024

Keynote, *Ethical issues in international arbitration*, Università Cattolica del Sacro Cuore, Milan, Italy, 12 May 2023

Keynote, The Second GDPRL College of Law International Conference on Legal Socio-Economic Issues and Sustainability, Riyadh, Saudi Arabia, 2023

Keynote, *Integrity in International Arbitration*, Stockholm Arbitration Day, Stockholm, Sweden, 2022

Schwartz Endowed Lecture, *The Key to the Paradox of Diversity in International Arbitration*, Ohio State University, 2020

Keynote, Technology and Informatics as Great Democratizers in International Arbitration, Young ICCA Skills Training Workshop, 2020 (online)

Keynote, *Arbitrator Intelligence and the Turn to Data*, International Arbitration Conference, PepperHamilton, Philadelphia, 2019

Keynote, *Moneyball for Arbitrators*, Global Arbitration Review Live, Stockholm, Sweden, 2019

Keynote, *The World Is Not Enough*, Vienna Arbitration Days, Vienna, Austria, 2019

Keynote, *Technology and Arbitrator Selection*, Helsinki Arbitration Day, Helsinki, Finland, 2019

Keynote, *Is International Arbitration in a Race to the Top?*, Cambridge Arbitration Day, Cambridge University, England, 2018

Keynote, *The World Is Not Enough*, 8th Annual Arbitration Conference: Strategic Choices in International Arbitration, *Les Jeune Avocats*, New York, New York, 2016

Keynote, *Third-Party Funding in International Arbitration*, Singapore Academy of Law, Singapore, 2015

Keynote, *Global Lawyering*, Vis Premoot at the Austrian Supreme Court, Vienna, Austria, 2015

Keynote, Public Interest/Private *Dispute*—Transparency in Investment Arbitration, White & Case co-sponsored by Sciences Po, Paris, France, 2014

CATHERINE A. ROGERS

Annual New Zealand Dispute Settlement Lecture of 2013, *Arbitrator Selection, Transparency and Stakeholders' Interests*, Auckland New Zealand

Keynote, *The Role of Global Lawyers in Ensuring Social Responsibility, Human Rights and the International Legal Order*, HumAk Forum, Oslo, Norway, 31 August 2010

SELECTED PRESENTATIONS

- 2023
- 13th Investment Treaty Arbitration Conference, Prague, Czech Republic
 - State Assistance in International Arbitration, Ukraine Arbitration Association (online)
 - Ethics in International Arbitration*, Eurasian Arbitration Days, Astana, Kazakhstan
 - Building Legitimacy in International Arbitration*, Armenia Arbitration Day, Yerevan Armenia
 - Convenor and Panel Co-Chair, 1st Annual Bocconi International Arbitration Conference, Assessing The Past, Envisioning The Future International Investment Arbitration Law & Policy, Milan, Italy
 - Arbitrator Powers and National Courts*, London International Arbitration Colloquium, SOAS, London
 - Challenging and Enforcing International Arbitral Awards in U.S. Federal Courts: An Empirical Study*, 18th Annual Conference on International Arbitration and Mediation
 - Advanced ADR Colloquium, University of California College of Law at San Francisco, California
- 2022
- The Intersection of International Arbitration and Sustainable Development: Perspectives from Sarajevo, Sarajevo, Bosnia and Herzegovina
 - The Journey of Business and Human Rights Arbitration from Geneva to the Hague, Centre for European Law and Internationalisation, Third CELI Annual Lecture 2021-2022 (online)
 - The Renaissance Woman Of Dispute Resolution: Carrie Menkel-Meadow's Contributions to New Directions in Feminism, Ethics, and ADR, Texas A&M (online)
 - Tribunals Aiding Tribunals, Schiefelbein Global Dispute Resolution Conference, Arizona State University (online)
- 2019
- Arbitrator Intelligence and the Use of Arbitrator Analytics to Improve International Arbitration*, Universidad del Pacifico, Lima Peru
 - Arbitrator Intelligence and the Future of Arbitration in Africa*, Africa Arbitration Academy, London, England

CATHERINE A. ROGERS

Arbitrator Intelligence & Arbitrator Selection, XI Conferencia Latinamericana Arbitraje,
Asuncion, Paraguay

2018 Technology and Diversity in International Arbitration, Dutch Arbitration Day,
Amsterdam,
The Netherlands

Third-Party Funding in International Arbitration, Tbilisi Arbitration Day, October 8,
2018

Convenor and Launch of ICCA-Queen Mary Report on Third-Party Funding, ICCA
Congress, Sydney, Australia

Revisiting Chromalloy: Enforcing Vacated Awards Under the New York Convention,
Sidley & Austin LLP, New York, New York

2016 *The Effect of the Legal Seat on Counsel Ethics in International Arbitration*, ABA Section
on International Law, New York, New York, March 27, 2016

Co-Organizer, *Arbitrator Behavior Workshop*, and *Information and Arbitrator
Accountability*, PluriCourts, University of Oslo, Norway

Ethics in International Arbitration: Arbitrators Counsel and Expert Witnesses, University
of Stockholm

Panelist, *Counsel Ethics in International Arbitration*, University of Southern California,
Gould School of Law, California

Regulating Counsel in International Arbitration, Symposium by the Inter-American Bar
Association (IABA), Washington DC.

Chapter, in partnership with Georgetown International Arbitration Society (GIAS),
Georgetown
University Law Center, Washington, DC

2016 Moderator and Convenor, Inaugural Detlev F. Vagts Roundtable, American Society of
International Law Annual Meeting, April 1, 2016

Panelist, *Challenges to Developing International Arbitration in Africa*, Penn State
International Arbitration Day

A commentator on draft revisions to UNIDROIT Principles of International Commercial
Contracts, UNIDROIT Consultation Meeting, Oslo, Norway

2015 Panel on Legal Ethics 32nd AAA/ICC/ICSID Joint Colloquium, Washington, DC

Arbitrator Conflicts of Interest with Third-Party Funders, IBA Conference on Third-Party
Funders in International Arbitration, London, England

Arbitrator Ethics in Investment Arbitration, 50th Anniversary of ICSID, Xi'an, China

CATHERINE A. ROGERS

Transparency in Arbitrator Selection, 4th Asia Pacific ADR Conference, Seoul, Korea

Plenary Session on the Arbitrator Selection Process, Annual Meeting of the College of Commercial Arbitrators, New York, New York

Third-Party Funding in International Arbitration, ICC Conference, Vancouver, Canada

Counsel Ethics in International Arbitration, Ethics Breakfast, New York International Arbitration Center, 10th Annual ICC Conference, New York, New York

Transparency in Arbitrator Selection and Arbitrator Intelligence, Iguassu Falls, *Investment Arbitration and Legal Elites*, PluriCourts Conference, Oslo, Norway

Presenter and Panel Moderator, Ethics in International Arbitration, Ghana, (video)

Book Launch, Ethics in International Arbitration, World Bank, Washington DC (hosted by ICSID & ASIL)

Third-Party Funding in International Arbitration, American Bar Association, Section on International Law, Washington DC

Presenter and Panel Moderator, Penn State International Arbitration Day, New York, New York (hosted by White & Case)

Faculty Workshop, Ethics in International Arbitration, University of Texas, Austin, Texas

Faculty Workshop, Ethics in International Arbitration, UCLA, Los Angeles, California;

Co-Organizer and Speaker on Multiple Panels, Annual Palestine International Arbitration Conference, Ramallah, Palestine

Vienna Arbitration Days, on Arbitrator Selection Process, Vienna, Austria

What You Need to Know About Global Legal Ethics, Continuing Legal Education Program (co-sponsored by Pepperdine University and SheppardMullen law firm), Los Angeles, California

2014 Experts, Partisans & Hired-Guns: the Ethics of Expert Witnesses, Unione des Avocats Internationales, Florence, Italy

2014 *Judicial Education in International Arbitration*, University of Missouri Law School, Columbus, Missouri

Ethics in International Arbitration (book presentation), Duke Law School, North Carolina

Conference Co-Organizer and Panel Moderator, *The Future of Counsel Ethics in International Arbitration*, Queen Mary University of London, London

CATHERINE A. ROGERS

Speaker and Co-Organizer, *Implementing Arbitrator Ethics*, Conference for USAID-sponsored project, Tblisi, Georgia

Ethics Panel, and *Arbitrator Challenges: Too Many or Not Enough?*, Fordham Annual Arbitration and Mediation Conference, New York, New York

Book Presentation, *Ethics in International Arbitration*, New York International Arbitration Center, New York, New York

Commentator on Mahdev Mohan, *New Regulatory Space: Extractives, Human Rights & Investment Law in Southeast Asia*, Singapore

International Bar Assoc. Toronto “International Arbitration Today,” Toronto, Canada

Presenter and Discussion Leader, *International Arbitrator Information Project*, Center for International Legal Studies, Salzburg, Austria

Arbitrator Intelligence, International Arbitration Section of the New York City Bar, New York, New York

10th Annual Leading Arbitrators’ Conference, Vienna, Austria

Closing Plenary of Bi-Annual Congress of the International Council for Commercial Arbitration, Miami, Florida

Presentation of Task Force on Third-Party Funding to the Membership at the Bi-Annual Congress of the International Council for Commercial Arbitration, Miami, Florida

Panel for International Arbitration Academic Interest Group, Bi-Annual Congress of the International Council for Commercial Arbitration, Miami, Florida

Board of Governors of the International Council for Commercial Arbitration, Miami, Florida

ABA Dispute Resolution Section, Miami, Florida

2013 Georgetown Law School Faculty Workshop on forthcoming book *Ethics in International Arbitration*, Washington DC

Panel Moderator, *Jan Paulsson’s The Idea of Arbitration*, London School of Economics, London, England

Program Organizer, *Interactive Skills Training Workshop on International Arbitration*, Ramallah, Palestine

Conference Organizer and *The Jerusalem Arbitration Center: Challenges and Opportunities*, Ramallah, Palestine

CATHERINE A. ROGERS

Faculty Workshop on *Developments in Legal Education*, Wellington, New Zealand

AMINZ Breakfast Meeting, *Third-Party Funding in International Arbitration*, Wellington, New Zealand

Lecturer, *Global Legal Ethics*, Wellington Bar Society, Wellington, New Zealand

Luncheon *The IBA Guidelines on Party Representation in International Arbitration*, Bankside Chambers, Northern Club, Auckland, New Zealand

International Arbitrator Information Project, Corporate Counsel International Arbitration Group, Paris, France

Council Ethics in International Arbitration, College of Commercial Arbitrators, New Mexico

Third-Party Funding of International Arbitration, Columbia Law School, New York

Arbitrator Selection and Ethics, International Energy and Minerals Arbitration, Toronto, Canada

Jurisdictional Challenges in International Arbitration, ICC-Florence University Summer Program, Florence, Italy

The Future of International Law, Conference on Joel Trachtman's Book, Swansea, Wales

When the Bad Guys Are Wearing White Hats, Symposium on Chevron-Ecuador Litigation, Stanford Law School, Palo Alto, California

2012 Faculty Workshop, *The Politics of Investment Arbitrators*, University of California, Hastings College of Law, California

The Politics of Investment Arbitrators, Symposium on the Law and Politics of Investment Arbitration, Santa Clara Law School, Santa Clara, California

Conference on the Jerusalem Arbitration Center, Columbia Law School, New York, New York

Ethics in International Arbitration, New Developments in International Arbitration, Annual Meeting of the College of Commercial Arbitrators, New York, New York

The Jerusalem Arbitration Center, Conference on Regionalism in International Arbitration, British Institute of International & Comparative Law, London, England

Judicial Delegation on International Arbitration for Chinese Judges, International Judicial Academy, Training Sessions in Guangzhou and Beijing

2012 *International Legal Ethics*, ASIL Annual Conference, Washington DC

Ethics in International Arbitration, Faculty Workshop, University of Iowa Law School,

Updated February 2024

CATHERINE A. ROGERS

Iowa City, Iowa

Selection and Regulation of Investment Arbitrators, OECD Freedom of Investment Roundtable, Paris, France

2011 Presenter and Conference Organizer, *Teach-In on International Arbitration*, Ramallah, Palestine

Panelist, *The Restatement as "New Rules,"* Sixth Annual Fordham Law School Conference on International Arbitration and Mediation, Fordham Law School, New York, New York

2010 Workshop on Restatement Project to European practitioners, Shearman & Sterling, Paris, France

Co-Chair and Panel Moderator, *ITA -CCB Americas Workshop: Confronting Ethical Issues in International Arbitration*, Bogotá, Colombia, October 14-15, 2010.

Panelist, *Ideology in international arbitration: a debate* (with Emmanuel Gaillard), International Bar Association, Annual Meeting, Vancouver, British Columbia, October 7, 2010

Panelist, *Choice of Law in Global Legal Ethics*, International Legal Ethics Conference, Stanford Law School, Palo Alto, California

Panelist, *The Expansion of International Arbitration into Public Realms*, Fifth Annual Fordham Law School Conference on International Arbitration and Mediation, Fordham Law School, New York, New York

Guerilla Tactics in International Arbitration: Cultural Differences and Ethical Solutions, Arbitration Days, Vienna,

2009 Panel Moderator, *The Ethics of International Investment Arbitrators*, British Institute of International and Comparative Law, Thirteenth Investment Treaty Forum Public Conf., London

Co-Chair and Panel Moderator, *Confronting Ethical Issues in International Arbitration*, Institute of Transnational Arbitration Annual Workshop, Dallas, Texas

Presenter on Ethics Panel, *Leading Arbitrators in International Arbitration*, Vienna, Austria

Roundtable Regarding the Restatement of International Arbitration, Princeton Law and Public Affairs, Princeton University, Princeton, New Jersey

Lawyers and the Public/Private Divide in International Law, UNLV Law School, Las Vegas, Nevada

The Global Advocate, Globalization of the Legal Profession Conference, Harvard Law

CATHERINE A. ROGERS

School, Cambridge, Massachusetts

- 2008 *Where Do We Go from Here?*, Investment Treaties and Alternatives of Investor-State Dispute Resolution, UNCTAD in cooperation with the Ukrainian Ministry of Justice, Kyiv, Ukraine
- 2007 *The Effect of Party Agreement on Statutory Standards for Arbitrator Bias*, International Law Weekend, New York, New York
- The Role and Function of Ethics in International Arbitration*, Annual Conference of the *Deutsch- Amerikanische Juristen-Vereinigung* (German-Am. Bar Association), Washington D.C.
- Defending Intellectual Property Rights in a Globalized Economy: Current Practices and Recent Trends*, European Patent Office Seminar, “Growing Business with IP,” Milan, Italy
- Arbitrators’ Codes of Ethics: Various Perspectives*, at the International Arbitration and Mediation “*Ius et Lex*” Institution Conference, Warsaw, Poland
- Law Applicable in Determining Rights and Duties of Arbitrators*, at 100th Anniversary of the Department of Law at Stockholm University, Symposium: *International Arbitrators: Private Judges, Service Providers or Both*, Stockholm, Sweden
- Attorney Ethics in Arbitration Panel Discussion*, Leading Arbitrators’ Conference, sponsored by JURIS PUBLISHING, Vienna, Austria, April 2, 2007
- The Arrival of the Have-Nots in International Arbitration*, University of Nevada Law School Symposium, Las Vegas, Nevada
- 2006 *The IBA Guidelines on Conflicts of Interest for International Arbitrators*, The Practice of International Arbitration, SDA Bocconi & the Milan Chamber of National and International Arbitration, Milan, Italy
- Practice*, Bucerius Law School and the *Deutsch-Amerikanische Juristen-Vereinigung* (German- American Bar Association), Hamburg, Germany
- Cultural Clashes and Conflicting Ethics: The Professional Conduct of Attorneys in International Arbitration Practice*, the *Deutsch-Amerikanische Juristen-Vereinigung* (German-American Bar Association), Stuttgart, Germany
- 2005 *Transparency in International Commercial Arbitration*, Symposium, University of Kansas Law School, Lawrence, Kansas
- Misbehaving Arbitrators & Consenting Parties: Enforcing Standards of Impartiality in International Arbitration*, *Ethics Workshop Series*, Villanova Law School, Villanova, Pennsylvania

CATHERINE A. ROGERS

The Vocation of International Arbitrators, Faculty Workshop, Loyola Law School, Los Angeles, California

The Vocation of International Arbitrators, Vanderbilt International Law Roundtable, Nashville, Tennessee

Arbitration Clause Drafting for Project Finance, Lecture to in-house legal department, International Finance Corporation, Washington, DC

Enforcement of Bias-Tainted Awards, Quinnipiac-Yale Dispute Resolution Workshop, Hartford, Connecticut

2004 *Regulating International Arbitrators*, The Stanford-Yale Junior Faculty Forum, Yale Law School, New Haven, Connecticut

2003 The Effects of the Private Attorney General on U.S. Tort Law, Università di Brescia, Italy; The U.S. Tort System as a Regulatory Tool, Università Cattaneo, Castellanza, Italy (in Italian).

Lessons for the Comparativist from the Louisiana Experience, Università degli Studi Dell'Insubria, Lake Como, Italy

2002 *Lawyer Ethics in Comparative Perspective*, University of Detroit-Mercy Faculty of Law, Detroit, Michigan

Proposals to Expel Palestinians from the Occupied Territories as a Catalyst for a Civil Adjudication Campaign, University of Iowa Law School, Iowa City, Iowa

2001 *Between Cultural Boundaries and Legal Traditions: Ethics in International Arbitration*, Stanford-Yale Junior Faculty Forum, Stanford Law School, Palo Alto, California

AWARDS

Recipient of Bocconi Research Excellence Award, 2024

CPR Best Professional Article Award of the Year for 2023

Scholar in Residence, Wilmer, Cutler, Pickering, Hale & Dorr, Fall 2016, Spring 2019

Fellowship recipient, PluriCourts - Centre for the Study of the Legitimate Roles of the Judiciary in the Global Order, University of Oslo, Spring 2016

Invitee to the Stanford-Yale Junior Faculty Forum, 2004 (*in the field of law and humanities*)

CPR Best Professional Article Award of the Year for 2002

Invitee to the Stanford-Yale Junior Faculty Forum, 2001 (*in the field of private international law*)

Howard M. Holzmann Fellowship, 2000

EDITORSHIPS & PEER REVIEW

Manuscript Referee (by invitation), OXFORD UNIVERSITY PRESS (2011, 2012 & 2015)

Manuscript Referee (by invitation), CAMBRIDGE UNIVERSITY PRESS (2013)

CATHERINE A. ROGERS

Manuscript Referee (by invitation), *YEARBOOK ON INTERNATIONAL INVESTMENT LAW & POLICY* (2009)
Manuscript Referee (by invitation), *MELBOURNE JOURNAL OF INTERNATIONAL LAW* (2005)
Manuscript Referee (by invitation), *STANFORD LAW REVIEW* (2012)
Manuscript Referee (by invitation), *LEGAL ETHICS (New Zealand)*(2010)
Special Volume Editor, *TRANSNATIONAL DISPUTE MANAGEMENT* (2013)
Associate Editor, *TRANSNATIONAL DISPUTE MANAGEMENT* (2007-2013)

PHD & SJD SUPERVISION AND ASSESSMENT

Bocconi PhD Supervisor for 3 Theses (2022-present)
PSU Supervisor for 6 SJD Dissertations (2012-2018)
External PhD Referee, Stockholm University, Sweden, (2022)
Internal PhD Referee, Queen Mary, University of London (2020)
External PhD Referee, University of Dundee, Scotland (2016)

LAW SCHOOL SERVICE

BOCCONI UNIVERSITY

Associate to Director of the Law Faculty (2021-Present)
Founding Director, Bocconi University TradeLab Legal Clinic (2022-Present)
Member, Faculty Hiring and Promotion Committee (2023-Present)

PENN STATE LAW

Co-Chair, University-wide Conflicts of Interest Task Force, 2015-16
Chair, Appointments Committee, 2009-10 & 2010-11
Member, Appointments Committee, 2012-13, 2018-19
Member, Promotion & Tenure Committee, 2008-2010
Faculty Advisor for Penn State team in the Willem C. Vis International Commercial Arbitration Moot, Vienna, Austria, 2008-2020
Faculty Advisor, Penn State International Law Review, 2012-17
Faculty Sponsor, International Arbitration Student Association, 2014-Present
Member, Curriculum Committee, 2013-14
Member, International Programs Committee, 2013-14 Member, Transition Committee, 2013

LANGUAGES: English (native speaker) and Italian (conversational), French (basic)