

# LUCA ENRIQUES

## Curriculum vitae

### CONTACT DETAILS

Address:

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### CURRENT POSITIONS:

**Bocconi University, Department of Legal Studies:** Full Professor of Business Law

**Univ. of Oxford Faculty of Law Inst. of Eur. and Comp. Law:** Visiting Research Fellow

### EDUCATION:

(1995-1999) **Università Commerciale L. Bocconi, Milan** (administrative seat): PhD in Business Law (Dottorato di Ricerca in Diritto Commerciale).

(1995-1996) **Harvard Law School, Cambridge, MA:** LL.M. (with **Fulbright Scholarship**)

(1988-1993) **University of Bologna:** Degree in Law (cum laude).

### ACADEMIC EXPERIENCE:

(2025-present) **Bocconi University, Department of Legal Studies,** Full Professor of Business Law

(2025-present) **University of Oxford,** Faculty of Law, Institute of European and Comparative Law, Visiting Research Fellow

(2023-2025) **Bocconi University, Department of Legal Studies,** Visiting Professor

(2014-2025) **University of Oxford,** Faculty of Law, Statutory Professor of Corporate Law (courses taught: Comparative Corporate Governance, Comparative Corporate Law, Principles of Financial Regulation; Academic Director of the Master in Law and Finance for five years; member of the BCL/MJur Exams Board and member and chair of the MLF Exams Board in various years; member of the Personnel Committee for various years; member of the Diversity, Equality and Inclusion Committee, three years)

(2014-2025) **Jesus College, Oxford:** Professorial Fellow

(2019-present) **European Banking Institute,** Fellow Academic Member

(2019) **Columbia Law School,** short-term Visiting Professor

(2019) **University of Sydney,** School of Law, Visiting Professor (course taught: Principles of Financial Regulation)

(2014-2015) **University of Cambridge,** Faculty of Law, Herbert Smith Freehills Visitor and Affiliated Lecturer (course taught: Comparative Corporate Governance)

(2014-2015) **LUISS Guido Carli University (Rome),** Department of Law, Visiting Professor (course taught: Comparative Corporate Governance)

- (2013-2014) **LUISS Guido Carli University** (Rome), Department of Law, Full Professor of Business Law (courses taught: Diritto commerciale II and Comparative Corporate Governance)
- (2012-2013) **Harvard Law School**, Cambridge, MA, Nomura Visiting Professor of International Financial Systems (courses taught: Comparative Corporate Governance, European Securities Regulation)
- (2011-12) **LUISS Guido Carli**, Department of Law, Professore a contratto (course taught: Diritto Pubblico dell'Economia)
- (2003-present) **European Corporate Governance Institute**, Research Associate (2003-2014); Research Fellow (2014-present); Editor of the Law Papers Series (2013-2019); Chair of the Research Committee (2019-2022); Board Member (2018-present)
- (1999-2012) **University of Bologna, Faculty of Law**, Ricercatore (Lecturer, 1999-2001), Associate Professor (2002-04) and Full Professor of Business Law (2007-2012) (courses taught: Financial Markets Law, Business Law)

### **PROFESSIONAL EXPERIENCE:**

- (2022-present) **UNIDROIT**, Working Group on the Project on the Legal Nature of Voluntary Carbon Credits, member.
- (2017-2020) **European Securities Markets Authority**, Consultative Working Group of the Financial Innovation Standing Committee, member.
- (2000-06;  
2014-2016;  
2017-2018) **Italian Ministry of the Economy and Finance**, Department of the Treasury, Adviser on banking and financial markets law and policy issues.
- (2007-12) **Consob** (Italian S.E.C.), **Commissioner**.
- (2003-2007) **Cleary, Gottlieb, Steen & Hamilton**, independent consultant (areas of practice: Company Law, Securities Regulation, Mergers and acquisitions, Banking Law).
- (1996-1999) **Bank of Italy, Banking Supervision Department, Regulatory Affairs Division**, Officer.

### **SELECTED PUBLICATIONS:**

#### **Books**

*The Anatomy of Corporate Law* (with Reinier Kraakman, John Armour, Paul Davies, Henry B. Hansmann, Gerard Hertig, Klaus J. Hopt, Hideki Kanda, Mariana Pargendler, Wolf-Georg Ringe, and Edward B. Rock), 3<sup>rd</sup> ed., Oxford University Press (2017).

*Principles of Financial Regulation* (with John Armour, Daniel Awrey, Paul Davies, Jeffrey Gordon, Colin Mayer, and Jennifer Payne), Oxford University Press (2016).

*The Anatomy of Corporate Law* (with Reinier Kraakman, John Armour, Paul Davies, Henry B. Hansmann, Gérard Hertig, Klaus J. Hopt, Hideki Kanda and Edward B. Rock), 2<sup>nd</sup> ed., Oxford University Press, 2009.

#### **Edited books**

*Board-Shareholder Dialogue: Best Practices, Legal Constraints, and Policy Options* (co-edited with G. Strampelli), Cambridge University Press, 2024.

Luca Enriques & Tobias Tröger eds., *The Law and Finance of Related Party Transactions*, Cambridge University Press, 2019 (paperback edition 2022).

## Articles

- Green Gatekeepers* (with A. Romano and A. Tuch), 109 MINNESOTA LAW REV. 609 (2024)
- Justifications for Minority-Co-Owned Groups and Their Corporate Law Implications* (with S. Gilotta), 25 THEORETICAL INQUIRIES IN LAW 141 (2024).
- The Voluntary Carbon Market: Market Failures and Policy Implications* (with V. Battocletti e A. Romano), 95 UNIVERSITY OF COLORADO LAW REV. 519 (2024).
- Green Pills: Making Corporate Climate Commitments Credible* (with John Armour and Thom Wetzer), 65 ARIZONA LAW REV. 285 (2023).
- Dual Class Shares in the Age of Common Ownership* (with Alessandro Romano & Vittoria Battocletti), 48 THE JOURNAL OF CORPORATION LAW 541 (2023).
- The Case Against a Special Regime for Intragroup Transactions* (with S. Gilotta), in 24 EUROPEAN BUSINESS ORGANIZATION LAW REV. 471 (2023).
- Rewiring Corporate Law for an Interconnected World* (with Alessandro Romano), 64 ARIZONA LAW REV. 51 (2022).
- Mandatory Corporate Climate Disclosures: Now, But How?* (with John Armour & Thom Wetzer), 2021 COLUMBIA BUSINESS LAW REV. 1085.
- Bank-Fintech Partnerships, Outsourcing Arrangements and the Case for a Mentorship Regime* (with Wolf-Georg Ringe), 2020 CAPITAL MARKET LAW JOURNAL 374.
- Extended Shareholder Liability for Systemically Important Financial Institutions* (with Alessandro Romano & Jonathan Macey), 69 AMERICAN UNIVERSITY LAW REV. 967 (2020).
- Corporate Technologies and the Tech Nirvana Fallacy* (with Dirk Zetsche), in 72 HASTINGS LAW JOURNAL 55 (2020).
- Network-Sensitive Financial Regulation* (with Alessandro Romano & Thom Wetzer), 45 THE JOURNAL OF CORPORATION LAW 351 (2020).
- Institutional Investor Voting Behavior: A Network Theory Perspective* (with Alessandro Romano), 2019 UNIVERSITY OF ILLINOIS LAW REV. 223.
- The Promise and Perils of Crowdfunding: Between Corporate Finance and Consumer Contracts* (with John Armour), 81 MODERN LAW REV. 51 (2018).
- The Case for an Unbiased Takeover Law (with an Application to the European Union)* (with Ronald J. Gilson & Alessio Paces), 4 HARVARD BUSINESS LAW REV. 85 (2014).
- Related Party Transactions: Policy Options and Real-World Challenges (with a Critique of the European Commission Proposal)*, 16 EUROPEAN BUSINESS ORGANIZATION LAW REV. 1 (2015).
- Is There a Uniform EU Securities Law After the Financial Services Action Plan?* (with Matteo Gatti), 14 STANFORD JOURNAL OF LAW, BUSINESS & FINANCE 43 (2008).
- Corporate Governance Reforms in Continental Europe* (with Paolo Volpin), in 21 JOURNAL OF ECONOMIC PERSPECTIVES 117 (2007).
- How the Old World Encountered the New One: Regulatory Competition and Cooperation in European Corporate and Bankruptcy Law* (with Martin Gelter), 81 TULANE LAW REV. 577 (2007) (awarded the John Minor Wisdom Award for Academic Excellence in Legal Scholarship as the best lead article of the volume).
- The Uneasy Case for Top-Down Corporate Law Harmonization in the European Union* (with Matteo Gatti), 27 UNIVERSITY OF PENNSYLVANIA J. OF INTERNATIONAL ECONOMIC LAW (2006), pp. 939.
- The Comparative Anatomy of Corporate Law* (Book review: REINIER KRAAKMAN ET AL., THE ANATOMY OF CORPORATE LAW. A COMPARATIVE AND FUNCTIONAL APPROACH (OUP Oxford: 2004)), 52 AMERICAN JOURNAL OF COMPARATIVE LAW 1011 (2004).

*Bad Apples, Bad Oranges: A Comment from Old Europe on Post-Enron Corporate Governance Reforms*, 38 WAKE FOREST LAW REV. 911 (2003).  
*Creditors Versus Capital Formation: The Case Against the European Legal Capital Rules* (with Jonathan Macey), 86 CORNELL LAW REV. 1165 (2001).